



Scope

including officers, employees and temporary workers<sup>1</sup> (i) Brookfield Asset Management Ltd. and its wholly-owned subsidiaries (together with any other wholly-owned subsidiaries that have adopted its own Whistleblowing Policy (or similar policy) that is consistent with the provisions of this Policy.<sup>2</sup>

All Employees are required to comply with applicable whistleblowing laws and with the whistleblowing provisions set out in



concern and/or participate in a whistleblowing investigation. Victimization or retaliation in these circumstances may be unlawful and any Employee who is found to have violated this provision will be subjected to disciplinary action under Brookfield's disciplinary procedure, including but not limited to dismissal.

Employees who feel they are being or have been victimized or retaliated against should report this immediately to their supervisor or to the HR team. However, if a whistleblowing concern is found to have been deliberately falsified or made maliciously or in bad faith, the Employee concerned may be subjected to disciplinary action under Brookfield's disciplinary procedure, including but not limited to dismissal.

Management is responsible for reviewing this Policy on an annual basis to ensure consistency and compliance with applicable law, the Code, and other applicable corporate policies.

